

Please type a plus sign (+) inside this box **[+]**

# **UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No: 28396-4

Total Pages: 13

First Named Inventor: Brandon, Dennis

Title: Electric Generator and Motor Drive

Express Mail Label No. ET252822206US

## **APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

## **ADDRESSED TO:**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

1. ☒ Utility Patent Application Transmittal  
[Total Pages: 2]
2. ☐ Applicant(s) claim(s) small entity status, 37 CFR 1.27
3. ☐ Specification, Claims and Abstract  
[Total Pages:     ]
4. ☐ Drawing(s) (35 USC 113) [Total Sheets:     ]
5. Oath or Declaration [Total Pages: 3]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 21 completed) [Note Box 6 below]
  - i. ☐ **DELETION OF INVENTORS**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

7. ☐ Microfiche Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer readable copy
  - b. ☐ Paper copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## **Accompanying Application Parts**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of attorney (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS/PTO-1449)
- ☐ Copies of Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Request and Certification Under 35 U.S.C. §122(b)(2)(B)(i)
17. ☐ Other:

## **17. FEE CALCULATION**

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
11	TOTAL CLAIMS (37 CFR 1.16(c))	-20 =	0	x \$18 =	\$ 0.00
4	INDEPENDENT CLAIMS (37 CFR 1.16(b))	- 3 =	1	x \$86 =	\$ 86.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ <u>      </u> =	\$ 0
				BASIC FEE (37 CFR 1.16(a))	\$ 770.00
				Total of above Calculations =	\$ 856.00
				Reduction by 50% for filing by small entity (37 CFR 1.27) =	\$ 428.00
				Assignment Recordation Fee =	\$ 0
				<b>TOTAL =</b>	<b>\$428.00</b>

18. ☐ Please charge Deposit Account No. 04-1133 in the amount of \$                     .
19. a. ☐ A check in the amount of \$                      is enclosed.
- b. ☒ Please charge the amount of \$428.00 to our Visa credit card account. Form PTO-2038 is attached.
20. ☒ The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 04-1133:
  - a. ☒ Fees required under 37 CFR 1.16
  - b. ☒ Fees required under 37 CFR 1.17

16834 U.S. PTO  
10/734408

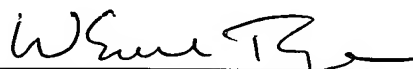


121203

16152 U.S. PTO  
121203

<b>21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</b> <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No. 09/697,524, filed October 26, 2000.					
<b>22. CORRESPONDENCE ADDRESS</b>					
<input checked="" type="checkbox"/> Customer Number or Bar Code Label <b>24256</b> or <input type="checkbox"/> Correspondence Address Below					
Name	W. Edward Ramage				
	Dinsmore & Shohl LLP				
Address	1900 Chemed Center				
	255 East Fifth Street				
City	Cincinnati	State	OH	Zip Code	45202
Country	USA	Telephone	615-313-3338	Fax	513-977-8141

Respectfully submitted,

  
 \_\_\_\_\_  
 W. Edward Ramage, Reg. No. 50,810  
 Attorney for Applicant(s)  
 Dinsmore & Shohl LLP  
 414 Union Street, Suite 1100  
 Nashville, Tennessee 37219  
 (615) 313-3338

**CERTIFICATE OF EXPRESS MAILING**

"Express Mail" mailing label #: ET252822206US

Date of Deposit: December 12, 2003

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application; P.O. Box 1450; Commissioner for Patents; Alexandria, VA 22313-1450.

  
 \_\_\_\_\_  
 W. Edward Ramage